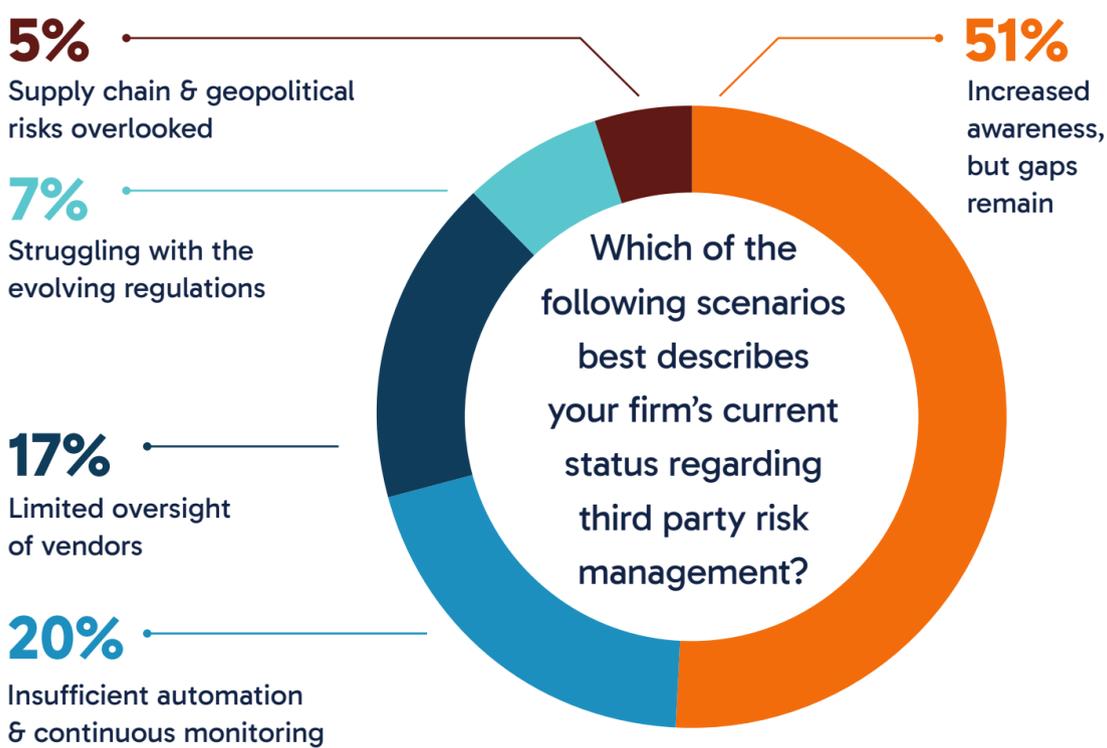




Unveiling the key challenges and threats that firms face when managing third party risk.



During our webinar on **Third Party Risk**, attendees were invited to complete a brief survey. The findings revealed:



“ Awareness is growing, but firms need to take practical steps to identify third party risks, understand how to mitigate them and monitor controls to assess the ongoing risk from third parties. Ongoing monitoring includes reviewing material relating to complaints, issues and failures in processes to help understand where the gaps lie.

Priscilla Gaudoin, Ruleguard's Head of Risk and Compliance

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“ To gain assurance that third parties are meeting expected standards, firms really need an effective compliance monitoring programme, with good open communication channels and the right management information. This helps to provide assurance, but also build trust.

Priscilla Gaudoin, Ruleguard's Head of Risk and Compliance

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Discover how Ruleguard's Client Assurance Portal Solution helps you seamlessly demonstrate adherence to global regulations, while our Supplier Oversight Solution enables you to track third-party providers and ensure protection against foreseeable harm to retail customers in line with cross-cutting rules.