



Ensure every policy, procedure, and document is audit-ready — with clarity, control, and confidence

One platform for all your policies and documents

Industry Challenges in Managing Policy & Document Compliance

Scattered Documents and Version Confusion

Compliance materials, policies, procedures and guidelines often live in silos. Without a centralised repository, firms struggle with version control, leading to staff working off outdated or incorrect documents.

Opaque Review Processes

Without automated triggers and audit trails, document review timing is inconsistent. Regulatory updates might be missed, and it's hard to prove whether and when documents were reviewed or updated.

Access Misalignment and Collaboration Hurdles

If permissions aren't tightly managed, sensitive content may be seen, or edited by the wrong people. Simultaneously, collaboration suffers when permissions are too restrictive, fragmenting workflows.

Weak Traceability and Audit Readiness

When documentation lacks proper mapping to controls, regulations, or risks, audits become drawn out. Firms lack clear trails showing who approved what, when, and why, not ideal for internal scrutiny or regulatory requests.

Unlock the Benefits of Ruleguard's Policy & Document Management



A Secure, Central Repository with Version Control

Store all compliance documents in one trusted place. Ruleguard ensures version integrity and prevents file corruption, while always granting access to the latest, approved version.



Robust Access Controls & Audit Trails

Define who can view, edit, approve, or archive documents. Every change is recorded, creating an audit-ready trail of actions and approvals.



Integration with Your Compliance Framework

Link each policy to its relevant controls, business process, regulatory requirement, or risk. This holistic mapping enhances oversight and simplifies control adoption.



Review Triggers Aligned to Regulatory Change

Ruleguard automatically initiates reviews when regulations change or document expiry nears, ensuring documentation stays current and compliant.

Why Ruleguard?

Single Source of Truth

All policy documents live securely in one unified repository underpinned by ISO/IEC 27001–level security.

No Code Configuration

Set up workflows, permissions, and review schedules using drag and drop interfaces, no IT or coding required.

Audit Ready with Full Traceability

Know who made what changes and when at the click of a button, for effortless internal or regulatory audit responses.

Holistic Compliance Mapping

Visually link documents to underlying compliance structures, controls, risks, processes, or regulations, for governance insight.

Adaptable for Regulated Financial Services

Built for regulated firms across banking, insurance, wealth management, and beyond.

Modular, Scalable GRC Platform

Plug into a broader Ruleguard ecosystem, leveraging other modules like monitoring, employee attestations, evidence management, or product governance as needed.

Effortlessly Manage Your Compliance Documentation



Unified Control

Keep every policy, procedure, and guideline governed within one platform, underpinned by simplicity, security, and structure.



Document Confidence

Leverage workflows and approval mechanisms to maintain clarity over document versions, revisions, and responsibilities.



Audit-Ready Transparency

Respond to internal reviews or regulatory inquiries with full, organised evidence of document integrity and review provenance.



Integrated Compliance Insight

See a policy's place within your broader risk and control framework, improving oversight, accountability, and audit efficiency.

From scattered files to streamlined compliance

Ruleguard's **Policy & Document Management Solution** empowers your firm to manage documentation with precision, coordination, and control. By centralising your policies with secure version control, approvals, and mapping to compliance structures, you reduce administrative risk and elevate audit readiness.

Schedule a discovery call with us to see how Ruleguard can evolve your documentation into a secure, compliant, and transparent asset.



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