

# Seamless Integration with Ruleguard

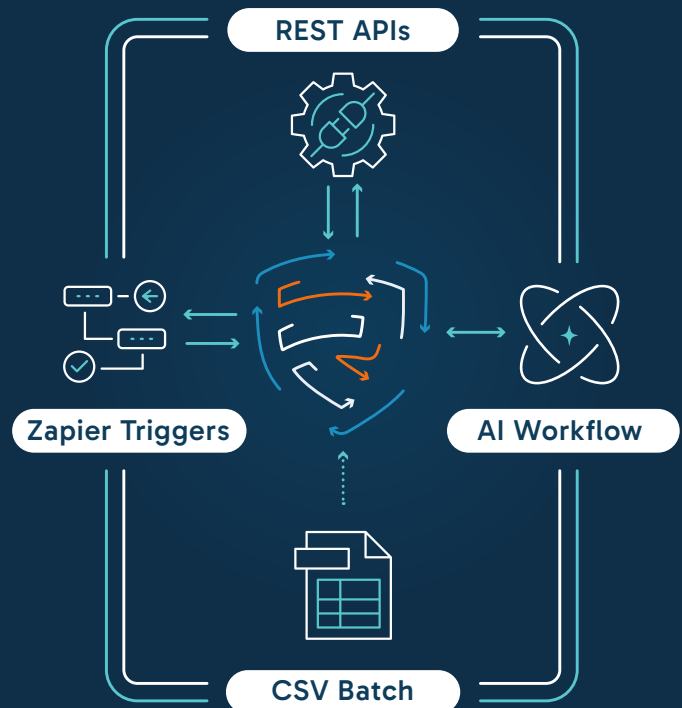


## Empower your systems with flexible, secure, and scalable connectivity

In today's fast-paced financial services landscape, integration isn't a luxury – it's a necessity. Ruleguard was built from the ground up to work seamlessly with your existing systems, ensuring that compliance, risk, and governance processes are not siloed but fully connected across your enterprise.

From day one, our platform has supported real-world use cases, from automating documentation when opening client money accounts to syncing operational risk data with enterprise platforms. Whether you're looking for no-code simplicity, full API control, batch processing for legacy systems, or AI-powered workflows, Ruleguard offers flexible, secure, and scalable integration options tailored to your needs.

Our goal is simple: to help you unlock efficiency, reduce manual effort, and ensure your data flows securely where and when it's needed.



**Built for financial services.**  
**Trusted by global institutions.**

## Integration Methods



### Zapier No-Code Triggers

Ruleguard integrates effortlessly with Zapier, enabling clients to build automations without writing a single line of code. Using our in-app workflow designer, you can trigger Zaps at specific stage transitions, activating workflows across over 7000 popular platforms like Slack, Salesforce, Teams, and SharePoint. This method is ideal for teams looking to implement quick, business-led automation without relying on developers.



### Custom Middleware via API Library

For clients seeking deeper control and customization, Ruleguard offers a comprehensive REST API suite. These APIs allow you to automate key functions such as risk scoring, issue logging, control assessments, and evidence management. With secure authentication via OAuth and full OpenAPI documentation, your technical teams can build robust middleware that keeps data synchronized and processes streamlined across your enterprise systems.



### CSV Batch File Ingestion

Legacy systems are no barrier to integration. Ruleguard's flat-file ingester processes CSV files on a scheduled basis—typically daily—executing business rules line-by-line and updating your Ruleguard data accordingly. This method is perfect for environments where real-time triggers aren't available, and includes automated status emails to keep administrators informed of each batch run.



### AI Workflow Integration

Ruleguard is future-ready, supporting integration with AI agent frameworks such as LangChain. By wrapping Ruleguard APIs as agentic tools, your in-house LLMs can access real-time compliance and risk data as part of intelligent workflows. Our Safe AI architecture ensures data privacy and security throughout the lifecycle, enabling powerful automation while maintaining regulatory confidence.

## Technical Highlights

Ruleguard's integration architecture is designed for flexibility, scalability, and security. Our REST APIs are fully documented in OpenAPI (Swagger) format and support JSON payloads, making it easy for developers to build custom automations. Authentication is handled via OAuth, with access managed through Azure API Management Services and protected by SSL encryption.

For batch integrations, our CSV ingester processes files asynchronously using Azure Queue Services, ensuring smooth operation even across large datasets. Azure Key Vault secures credentials and sensitive configuration data, while automated status emails provide transparency and oversight for system administrators.

Whether you're integrating via Zapier, custom middleware, batch files, or AI workflows, Ruleguard ensures your data is protected—both in transit and at rest.

## Real-World Use Cases

Ruleguard's integration capabilities are trusted by leading financial institutions to streamline operations and enhance compliance. Here are just a few examples:



A UK investment platform syncs issue logs from Ruleguard with its internal systems to maintain a unified view of operational risks



A global financial group automates the transfer of risk data from Ruleguard into its enterprise risk platform, reducing manual effort and improving accuracy



A private bank ingests custody and cash positions from a mainframe system into Ruleguard to auto-populate regulatory forms for the UK regulator



A global investment firm syncs HR data with Ruleguard to ensure SM&CR compliance records are always up to date





A UK wealth manager uses Ruleguard's personal account dealing solution to block unauthorized trades and flag potential breaches in real time



A specialist complaints handler shares Ruleguard complaint logs with institutional clients via secure client portals at multiple intra-day checkpoints

These examples demonstrate the breadth and depth of Ruleguard's integration capabilities—enabling smarter, faster, and more compliant operations across the financial services sector.

**Speak to your Ruleguard relationship manager about your integration requirements**

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Explore how our **systems integration options** help you make risk & compliance with Ruleguard seamless across your enterprise whilst you leverage maximum value from all your software assets.

