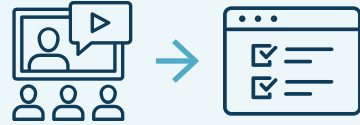


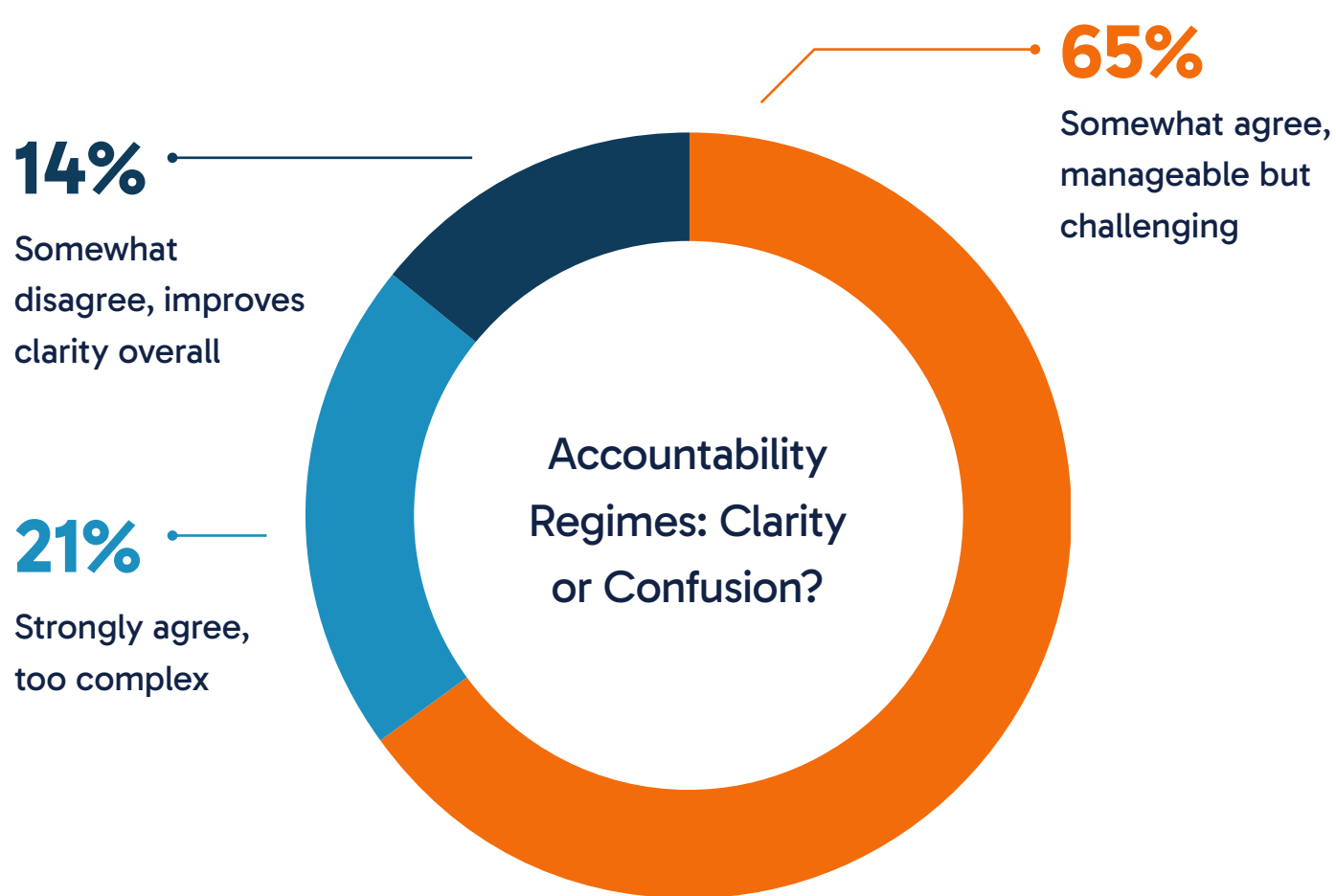


Accountability in Practice: What Firms Really Think



In the webinar “From Compliance to Culture: Embedding Accountability,” attendees responded to a brief survey, highlighting several noteworthy trends.

Do multiple accountability regimes across group entities create confusion for senior managers rather than enhancing governance?



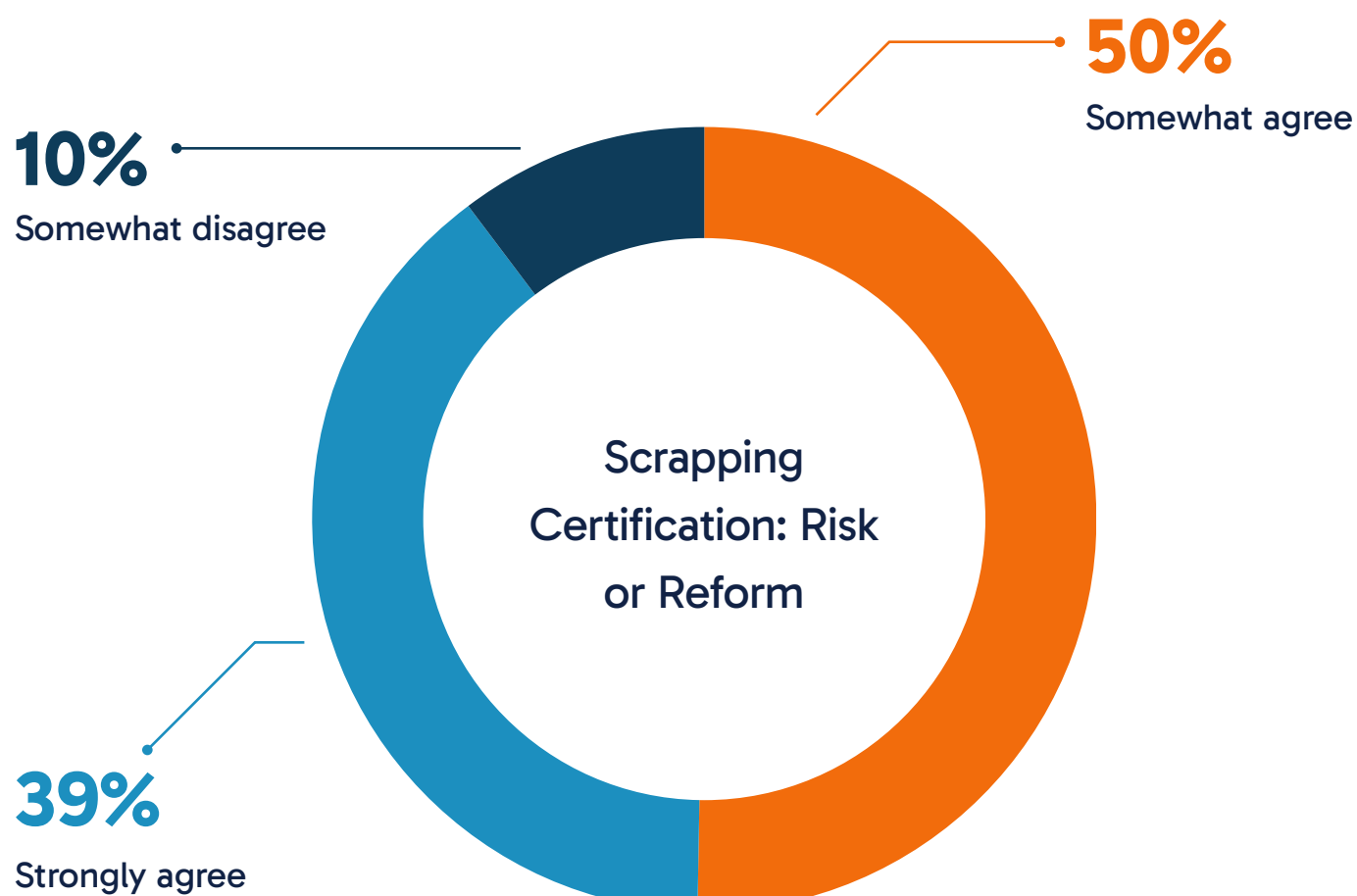
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Getting the balance right between Accountability and practicality is key. The poll results indicate that firms strongly supportive of accountability regimes, but they are also concerned about the practical challenges of implementation across jurisdictions.

Priscilla Gaudoin, Ruleguard’s Head of Risk and Compliance

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If the Certification Regime were removed, could this undermine accountability instead of strengthening it?



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The Certification Regime can be an administrative burden, but many firms see it as a cornerstone of the SM&CR framework. It plays a crucial role in ensuring individuals remain fit, proper, and accountable.

Priscilla Gaudoin, Ruleguard’s Head of Risk and Compliance

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